



Privacy Notice
Effective 25th May 2018
DPA Law LLP
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UK Data Privacy/Data Protection Law will change significantly on 25th May 2018.

The General Data Protection Regulation (or GDPR for short) is a positive step towards you having more control over how your data is used and how you are contacted by us.

If you are an individual, the rights you have under the GDPR include the following:

- The right to be informed
- The right of access
- The right to rectification
- The right to erasure
- The right to restrict processing
- The right to data portability
- The right to object
- Rights in relation to automated decision making and profiling.

The changes will also help to better protect your Personal Data. We have therefore updated our privacy notice to reflect these changes.

We use your personal data to help us provide an excellent client service, which includes tailoring the information we share with you to help ensure that it is relevant, useful, and timely.

We will respect your privacy and work hard to ensure we meet strict regulatory requirements.

We will not sell your personal data to third parties.

We will provide you with easy ways to manage and review your marketing choices if you receive direct marketing communications from us.

We are a firm that is regulated by the Solicitors Regulation Authority (SRA). As you might expect, we are already subject to strict rules of confidentiality. It is therefore already part of the fabric and culture of our firm to keep your information private and secure.

We would ask you to help us keep your data secure by carefully following any guidance and instructions we give e.g., communicating bank account details and transferring funds to us.

We are sometimes obliged to share your Personal Data with external authorities without notifying you e.g., as required by the Anti-Money Laundering & Counter Terrorist Financing Act 2017. In all other cases, we will be transparent, and we will explain to you why we are requesting your data and how we are using it.

Lawful Bases for Processing your Data.



The new law states that we can use personal information only **if we have a proper and lawful reason** to do so. This includes sharing it with others outside the firm e.g., an auditor of a relevant quality standard.

The GDPR says we must have one or more of these reasons:

- **Contract:** the processing is necessary for a contract we have with an individual, or because they have asked us to take specific steps before entering a contract.
- **Legal obligation:** the processing is necessary for you to comply with the law (not including contractual obligations).
- **Legitimate interests:** the processing is necessary for our legitimate interests or the legitimate interests of a third party unless there is a good reason to protect the individual's personal data which overrides those legitimate interests.
- **Consent:** the individual has given clear consent for us to process their personal data for a specific purpose.

A legitimate interest is when we have a business or commercial reason to use your information.

Here is a list of all the ways that we may use your personal data, and which of the reasons we rely on to do so.

Use of your Personal Data	Our reason/justification for processing	Legitimate Business Interest
Opening, progressing, closing, archiving, and storing a matter/case file.	<ul style="list-style-type: none">• Contract• Legitimate Interest• Legal Obligation	Fulfilling your instructions (the retainer) Complying with regulations and the law
Direct Marketing to you	<ul style="list-style-type: none">• Legitimate Interest	Keeping our records up to date, working out which of our products and services may interest you and telling you about them. Providing information on changes in the law and inviting you to contact us for advice.
To Make and Manage client payments. To manage fees, charges, and interest. To collect and recover money that is owed to us.	<ul style="list-style-type: none">• Contract• Legitimate Interest• Legal Obligation	Keeping accounts systems up to date. Complying with SRA Accounts Rules and other regulations. Effective and efficient management of a sustainable business.
To detect, investigate, report, and seek to prevent financial crime. To manage risk for us and our customers To comply with laws and regulations that apply to us. To respond to complaints and seek to resolve them.	<ul style="list-style-type: none">• Contract• Legitimate Interest• Legal Obligation	Developing and improving how we deal with financial crime including suspected money laundering as well as complying with our legal obligations in this respect. Complying with regulations that apply to us.

		Being efficient about how we fulfil our legal and contractual duties.
To run our business in an efficient and proper way. This includes managing our financial stability, business capability, planning, communications, corporate governance, and audit.	<ul style="list-style-type: none"> • Legitimate Interest • Legal Obligation 	<p>Complying with the SRA Accounts Rules and Code of Conduct and other regulations that apply to us.</p> <p>Being effective and efficient about how we run our business.</p> <p>To allow external consultants, advisers, and auditors to inspect files.</p>
To exercise our rights and comply with obligations set out in agreements or contracts.	<ul style="list-style-type: none"> • Legitimate Interest • Legal Obligation 	Complying with contractual requirements e.g for the provision to clients of Public Funding by Public Bodies.

Types of Personal Data we process.

Type of Personal Information	Description
Financial	Your bank account details and your financial status and information
Contact Information	Where you live and how to contact you
Socio-Demographic	This includes details about your work or profession, nationality etc.
Transactional	Details about payments to and from your bank accounts
Contractual	Details about the products or services we provide to you
Behavioural	Details about how you use our services
Communications	What we learn from letters, emails, and conversations between us
Social Relationships	Your family, friends, and other relationships
Open Data and Public Records	Details about you that are in public records such as the Land Registry, and information about you that is openly available on the internet
Documentary Data	Details about you that are stored in documents different formats, or copies of them. This could include things like your passport, drivers license, or birth certificate.
Special Types of Data	The law and other regulations treat some types of personal information as a special category. We will only collect and use these types of data if the law allows or requires us to do so:

	<ul style="list-style-type: none"> • Racial or ethnic origin • Religious or philosophical beliefs • Trade Union Membership • Genetic and Bio-Metric Data • Health Data including gender. • Criminal convictions and offences.
Consents	Any permissions, consents, or preferences that you give us. This includes things like how you want us to contact you.
National Identifier	A number or code given to you by a government to identify who you are, such as a national insurance number
Legal Aid Application and Bill	Information required to apply for public funding and to claim our fees under any legal aid certificates issued to you.

Sources of Data

We collect personal data from various sources:

Data	Source	Purpose
Data you give us when you instruct us to advise you or act for you.	You	To enable us to decide whether to accept your instructions and to progress your matter
Data you give us by letter/phone/email and other documents	You	To enable us to decide whether to accept your instructions and to progress your matter
Data you give us when you visit our website, via a messaging service or social media	You	To enable us to deal with your query or request and to contact you if appropriate
Data you give us during interviews	You	To enable us to advise and represent you and to communicate with other solicitors and 3 rd parties on your behalf
Data you give us in clients surveys	You	To enable us to improve our services and respond to any expressions of dissatisfaction
Data provided to us by referrers and introducers	Referrers	To enable us to decide whether to accept your instructions and to progress your matter



Fraud Prevention Agencies	Agency	To enable us to comply with the law and regulations and carry out client due diligence checks
Estate Agents	Agents	To enable us to act on your behalf in relation to a land transaction
Public Bodies	Public Bodies, such as: <ul style="list-style-type: none">• HMRC• HM Treasury• Local Authority• Land Registry• Land Charges Registry• Probate Registry• Legal Aid Agency• Police• CPS• Courts Service• Other Government Departments	To enable us to advise you and progress the matter. To Prevent fraud and money laundering
Your GP or other medical professionals	Doctor	To obtain appropriate medical reports
The Legal Aid Agency	LAA	Under our contractual obligations we will receive "Shared Data" from LAA if your matter is legally aided

Who we share your Data with:

Subject to the SRA Code of Conduct and the requirements about client confidentiality, we may share your personal information with:

- Lawyers or other organisations on the other side of a matter or case
- Barristers or experts we instruct.
- The courts and other tribunals
- Your Personal Representatives or Attorneys
- Auditors
- Lenders
- Estate Agents, IFAs, Referrers, etc
- Organisations that we introduce you to.
- HM Revenue and Customs
- The government both Central and Devolved.
- Fraud Prevention Agencies including the National Crime Agency
- The SRA and other regulators
- ID checking organisations



Automated Decision-Making

We do not use automated decision-making systems.

All decisions relating to you and your matter are made by a person.

Personal Data we use:

We typically will use the following types of personal data:

- Your Name
- Date of Birth
- Home address
- Contact details such as phone numbers and email addresses.
- Bank details and account information
- Medical information (where applicable)
- Employment details
- Data that identifies you by cookies when you use our website.

Sending Data outside the European Economic Area (EEA)

Unless you instruct us in a matter or case that involves an international element, we do not normally send your personal data outside the EEA. If we do, then we will seek your consent to do so, explain the risks to you and talk to you about potential safeguards depending on the country involved.

Your refusal to provide Personal Data requested

If you refuse to provide the information requested, then it may cause delay and we may be unable to continue to act for you or complete your matter.

Marketing Information

We may from time to time send you letters or emails about changes in the law and suggestions about actions that you might consider taking in the light of that information e.g. reviewing your will. We will send you this marketing information either because you have consented to receive it or because we have a "legitimate interest". You have the right to object and to ask us to stop sending you marketing information by contacting us at any time. You can of course change your mind and ask us to send the information again.

How long we keep your personal information.

We are legally obliged to keep certain information for at least 5 years and typically store your file for 6 years before destroying it. In some cases, e.g. Legal Aid Matters we are obliged to keep your files for a longer period of time, this period will be set out in our closing letter to you. We will store Wills and other documents indefinitely. We will keep your name and personal contact details on our database until you tell us that you would like them removed e.g. where you have changed solicitor.



How to get a copy of your Personal Information

If you wish to access your personal data then write to:

Mr Neil Richards COLP or Mrs Rachel Hughes COFA at:

DPA Law LLP

10-12 Queen Victoria Road,

Llanelli,

SA15 2TN

Telling us if your Personal Information is incorrect (The right to rectification)

If you think any information, we have about you is incomplete or wrong, then you have the right to ask us to correct it. Please contact us as above.

Other Rights

As mentioned above you also have other rights, namely.

- The right to erasure
- The right to restrict processing.
- The right to data portability

You have the right to ask us to delete (erase) or stop us using your data if there is no longer any need for us to keep it (e.g. under a legal obligation). In terms of data portability then subject to any lien we may enjoy for non-payment of fees, we will comply promptly (where permitted) to your request to transfer your physical paper file to another solicitor upon receipt of your signed consent. If your file is in electronic format, we will take reasonable steps to export the file to a “portable format” where possible so that your new solicitor can upload it to their system. As many different IT systems are used by the legal profession, we cannot guarantee that we can provide data in a compatible format.

Consent

GDPR in some cases requires us to obtain your explicit consent i.e.

- (a) the racial or ethnic origin of the data subject,
- (b) his/her political opinions,
- (c) his/her religious beliefs or other beliefs of a similar nature,
- (d) whether he/she is a member of a trade union (within the meaning of the Trade Union and Labour Relations (Consolidation) Act 1992),
- (e) his/her physical or mental health or condition,
- (f) his/her sexual life, (g) the commission or alleged commission by him/her of any offence,



or (h) any proceedings for any offence committed or alleged to have been committed by him/her, the disposal of such proceedings or the sentence of any court in such proceedings. Where acting for you involves us processing such data we will seek your explicit consent e.g. when we plan to obtain your medical records.

You have the right to withdraw your consent by contacting us as stated above.

However, if you do so then we may not be able to progress your case or indeed continue to act for you.

How to Complain

If you are unhappy about how we are using your Personal Data then you can complain to us using the contact information above. You also have the right to complain to the Information Commissioner's Office (ICO).

Cookies

We do not use cookies on our following website (s): www.dpalaw.co.uk

Updating this Notice

We will, from time to time, update this Privacy Notice after 25th May 2018 to reflect emerging ICO and Working Party 29 guidance, requirements of the new Data Protection Act and any other relevant changes in the law or regulations. We will also seek to learn from any published cases of Data Protection breaches.